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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission  
(excluding references)

6

Application Number

10/820,316

Filing Date

April 7, 2004

First Named Inventor

Hossainy

Group Art Unit

1762

Examiner Name

Unassigned

Attorney Docket Number

50623.285

### ENCLOSURES (check all that apply)

☒ Deposit Account 07-1850  
Authorization

☒ Postage Paid Return Postcard

☐ Response to Office Action ( pages)

☐ Amendment Transmittal Letter ( page) (in duplicate)

☐ Affidavits/declaration(s)

☐ Petition for Extension of Time ( months) ( page) (in duplicate)

☒ Information Disclosure Statement (2 pages) (in duplicate) with Form PTO-1449 (1 page) citing 5\_ References

☐ Express Mail Label No.

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s) Formal \_ Sheets with Submission of Formal Drawings (1 page) (in duplicate)

☐ Issue Fee Transmittal with PTO-85b (in duplicate)

☐ Request for Continued Examination Transmittal (RCE)

☐ Fee Transmittal Form (1 page) in duplicate

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer (page)

☐ Statement of Common Ownership

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Request for Status of Application

☒ Other Enclosure(s) (please identify below):

2 References

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Squire, Sanders & Dempsey L.L.P.  
Mark Lupkowski, Ph.D., Reg. No. 49,010

Signature

*Mark Lupkowski*

Date

August 26, 2005

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: August 26, 2005

Typed or printed name

Vera Breder

Signature

*Vera Breder*

Date

August 26, 2005

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Examiner: Unassigned

Hossainy et al.

Serial No. 10/820,316

Art Unit: 1762

Filed: April 7, 2004

Title: METHODS FOR MODIFYING BALLOON OF A CATHETER  
ASSEMBLY

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. The references were cited in the International Search Report and Written Opinion of a related foreign application, mailed July 6, 2005. A copy of the references and a copy of the Search Report are enclosed herein. One reference cited in the Search Report is already of record. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. Since this patent application was filed after June 30, 2003, Applicants have not provided copies of the cited U.S. patents. The Examiner is requested to make this information of official record in the application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present

application. The submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

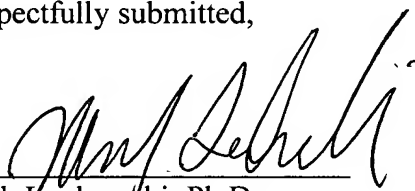
The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Supplemental Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: August 26, 2005

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Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Mark Lupkowski', written over a horizontal line.

Mark Lupkowski, Ph.D.  
Attorney for Applicants  
Reg. No. 49,010

<b>FORM PTO-1449 (Modified)</b> US Patent and Trademark Office		US DEPARTMENT OF COMMERCE		Docket No. <div style="text-align: center;">50623.285</div>		Application No. <div style="text-align: center;">10/820,316</div>			
<b>INFORMATION DISCLOSURE CITATION</b> <b>in an Application</b> (Use several sheets if necessary)				Applicant <div style="text-align: center;">Hossainy et al.</div>		Filing Date <div style="text-align: center;">April 7, 2004</div>		Group Art Unit <div style="text-align: center;">1762</div>	

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 AUG 29 2005  
 TRADES MARK OFFICE

U.S. PATENT DOCUMENTS							
Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	5,893,840	4/13/99	Hull et al.			
	A2	6,010,480	1/4/00	Abele et al.			
	A3	6,544,223	4/8/03	Kokish			
	A4						
	A5						
	A6						

U.S. PATENT APPLICATION PUBLICATION DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A7						

FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation Abstract
							Yes   No
	B1	96/14895	5/23/96	PCT			
	B2						
	B3						
	B4						
	B5						
	B6						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	C1 International Search Report and the Written Opinion for a PCT application PCT/US2005/011488, mailed 7/6/05, 14 pgs.
	C2
	C3

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to applicant.